



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/891,144 | 06/25/2001 | Robert H. Grubbs | P 0278319 CIT-3219 |

CONFIRMATION NO. 8866

FORMALITIES LETTER



OC000000006453981

Pillsbury Winthrop LLP
Intellectual Property Group
Calendar/Docket Dept.
50 Fremont Street
San Francisco, CA 94105

Date Mailed: 08/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/17/2001 HTECKLU1 00000025 033975 09891144

01 FC:581

40.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$610.
 - \$450 for 25 total claims over 20.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1450.

*A copy of this notice **MUST** be returned with the reply.*

10/17/2001 HTECKLU1 00000025 033975 09891144

Customer Service Center
Initial Patent Examination Division (703) 308-1202

02 FC:101 740.00 OP
03 FC:103 450.00 OP
04 FC:102 168.00 OP
05 FC:105 38.00 CH 92.00 OP

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)
For Design, Provisional, or Utility Applications

**PATENT
APPLICATION**

**COMPLETION Under
Rule 53(f)**

In re PATENT APPLICATION of

Inventor(s): Grubbs et al.

Attn: Application Division

| | | | | |
|----------------|--------------|-------------|---------|------------|
| Appln. No.: 09 | 891,144 | Atty.Dkt. P | 0278319 | CIT-3219 |
| Series Code ↑ | Serial No. ↑ | | M# | Client Ref |

Filed: June 25, 2001

Title: SYNTHESIS OF FUNCTIONALIZED AND UNFUNCTIONALIZED OLEFINS VIA CROSS AND RING CLOSING METATHESIS

Hon. Commisioner of Patents
Washington,DC 20231

Date: October 9, 2001

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

- Notice to File Missing Parts** ☒ copy attached ☐ not yet received
- ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.

2B. ☒ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

3. ☐ Specification originally filed in non-English language; hence verified translation attached of:

a. ☐ Abstract

b. # pages of Specification(only spec. & claims)

c. ☐ Drawing(s)

No of Sheets

☐ Fig(s).

4. ☐ Letter filing formal drawing attached.

5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|---------------|-----------------|-------------|
| (1) 60/213,757 | June 23, 2000 | (2) | |

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

| Application No. | Filing Date | Application No. | Filing Date |
|-----------------|-------------|-----------------|-------------|
| (1) | | (2) | |
| (3) | | (4) | |
| (5) | | (6) | |

Completion Under Rule 53(f)

9. _____ (No.) Certified copy (copies): _____ ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

| | | | | Large/Small Entity | | Fee Code |
|--|----|------------|----|--------------------|------|----------|
| 13. Basic Filing Fee Design Application | | | | \$320/\$160 | | 106/26 |
| Not Design Application | | | | \$710/\$355 | +710 | 101/201 |
| 14. Total Effective Claims | 45 | minus 20 = | 25 | x \$18/\$9 | +450 | 103/203 |
| 15. Independent Claims | 5 | minus 3 = | 2 | x \$80/\$40 | +160 | 102/202 |
| 16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application) | | | | \$270/\$135 | +0 | 104/204 |
| 17. Surcharge for filing Declaration/filing fee late | | | | \$130/\$65 | +130 | 105/205 |
| 18. FILING FEE ENCLOSED = | | | | \$1450 | | |
| 19. Original due date: October 21, 2001 | | | | | | |
| 20. Petition is hereby made to extend the <u>original</u> due date to (1 mo) | | | | \$110/\$55 = | +0 | 115/215 |
| cover the date this response is filed for which the requisite fee (2mos) | | | | \$390/\$195 = | | 116/216 |
| is attached (3mos) | | | | \$890/\$445 = | | 117/217 |
| (4mos) | | | | \$1390/\$695 = | | 118/218 |
| 21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee | | | | \$130 | +0 | 139 |
| 22. If "assignment" box 5 is X'd, add recording fee. | | | | \$40 | +40 | 581 |
| 23. Petition Fee for | | | | \$130 | +0 | |
| 24. TOTAL FEE ENCLOSED = | | | | \$1490 | | |

Our Deposit Account No. 03-3975

Our Order No. 020072

0278319

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

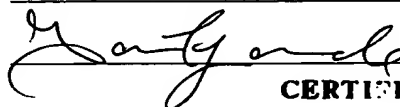
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By Atty: Tanuja V. Garde

Reg. No. 44,697

Sig:



CERTIFIED

Fax: (415) 983-1200
Tel: (415) 983-1586

I hereby certify that this correspondence is being deposited with United States Postal Service, postage prepaid, in an envelope addressed to Commissioner of Patents, Washington DC 20231-9999 on 10/09/01

Date: October 9, 2001By: 